

Patent Cooperation Treaty

PCT

Notification of the international application number and the international filing date
(PCT Rule 20.5(c))

From the Receiving Office

PCT

To: Guan Chang
Jeekai & Partners Beijing
Intellectual Property Ltd.
Suite 602, Jinyu Tower, No. 129 West Xuan Wu Men Street
Xicheng District, Beijing, China 100031

Date of mailing: February 24, 2005

Applicant's or agent's file reference: PEGNA50006		Important Notification	
International application number PCT/CN/CN2005/000136	International filing date January 31, 2005	Priority date February 20, 2004	
Applicant China Agricultural University et al.			
Name of patent T-Cell Immune Response Inhibitor			
<p>1. The applicant is hereby notified that the international application has been accorded the international application number and the international filing date indicated above.</p> <p>2. The applicant is further notified that the record copy of the international application: <input checked="" type="checkbox"/> Was sent to the International Bureau on February 24, 2005. <input type="checkbox"/> Has not yet been transmitted to the International Bureau for the reason indicated below and a copy of this notification has been sent to the International Bureau*: <input type="checkbox"/> the national security clearance has not been obtained.</p> <p>* The International Bureau monitors the transmittal of the record copy by the receiving office and will notify the applicant (using Form PCT/IB/301) of its receipt. If the record copy is not received by the expiration of 14 months from the priority date, the International Bureau will notify the applicant (Rule 22.1(c)).</p>			
State Intellectual Property Office of the People's Republic of China (RO/CN) No. 6 West Tucheng Road, Ji Men Bridge Haidian District, Beijing, China 100088 Fax number: (86-10) 62019451		Authorized officer Bo Lingfei Telephone number: 86-010 6208 4325	

Form PCT/RO/105 (July 1998 and January 2004)

[stamp:]

State Intellectual Property Office of the People's Republic of
China
Patent Examination Business Stamp

Patent Cooperation Treaty

PCT

Notification concerning payment of prescribed fees
(PCT Rules 14, 15 and 16 and Administrative Instructions 102-2
(c), 304, 323(b), 707 (b) and 803)

From the Receiving Office

To: Guan Chang
Jeekai & Partners Beijing
Intellectual Property Ltd.
Suite 602, Jinyu Tower, No. 129 West Xuan Wu Men Street
Xicheng District, Beijing, China 100031

Date of mailing: February 24, 2005

Applicant's or agent's file reference: PEGNA50006		Payment due Refer to item 3													
International application number PCT/CN/CN2005/000136	International filing date/Date of receipt January 31, 2005	Priority date February 20, 2004													
Applicant China Agricultural University et al.															
<p>1. The applicant is hereby notified that this receiving office has received: <input checked="" type="checkbox"/> the payment of all the prescribed fees ___ an overpayment, to be refunded in due course ___ no payment or insufficient payment of the prescribed fees and the applicant is hereby asked to pay the balance due, as summarized under item 2, within the time limits indicated in item 3.</p> <p>2. Fees and payment calculations</p> <table><tr><td>CHF1400</td><td>CHF1400</td><td></td><td>CHF0</td></tr><tr><td>CNY2150</td><td>-</td><td>CNY2150</td><td>= CNY0</td></tr><tr><td>Total fees payable</td><td></td><td>Amount paid</td><td>Balance</td></tr></table> <p><input checked="" type="checkbox"/> The details of the calculations are given in the Annex.</p> <p>3. Time limit for payment and amount due (Rules 14.1, 15.4 and 16.1 (f)): ___ within one month from the date of receipt of the international application (for the transmission fee (if any), the search fee and the international filing fee). The amount payable for each fee is the amount applicable on the date of receipt of the international application. ___ within 16 months from the priority date (refers only to the fee for the priority document). The applicant's attention is drawn to the fact that the request made by the applicant under Rule 17.1 (b) will be considered not to have been made unless the fee is paid within that time limit.</p> <p>4. Additional observations (when necessary): ___ The search copy will not be transmitted to the International Searching Authority until the search fee is paid (therefore the start of the international search will be delayed) (Rule 23.1(a))</p>				CHF1400	CHF1400		CHF0	CNY2150	-	CNY2150	= CNY0	Total fees payable		Amount paid	Balance
CHF1400	CHF1400		CHF0												
CNY2150	-	CNY2150	= CNY0												
Total fees payable		Amount paid	Balance												

and (b)).

State Intellectual Property Office of the People's
Republic of China (RO/CN)
No. 6 West Tucheng Road, Ji Men Bridge
Haidian District, Beijing, China 100088
Fax number: (86-10) 62019451

Authorized officer
Bo Lingfei

Telephone number: 86-010 6208 4325

Form PCT/RO/102 (January 2004)

[stamp:]
State Intellectual Property Office of the People's Republic
of China
Patent Examination Business Stamp

Patent Cooperation Treaty

PCT

Notification of receipt of search copy
(PCT Rule 25.1)

From the International Searching Authority

To: Guan Chang
Jeekai & Partners Beijing
Intellectual Property Ltd.
Suite 602, Jinyu Tower, No. 129 West Xuan Wu Men Street
Xicheng District, Beijing, China 100031

Date of mailing: February 24, 2005

Applicant's or agent's file reference: PEGNA50006		Important Notification	
International application number PCT/CN/CN2005/000136	International filing date January 31, 2005	Priority date February 20, 2004	
Applicant China University of Agricultural et al.			
<p>1. Where the International Searching Authority and the Receiving Office are not the same office: The applicant is hereby notified that the search copy of the international application was received by this International Searching Authority on the date indicated below. Where the International Searching Authority and the Receiving Office are the same office: The applicant is hereby notified that the search copy of the international application was received on the date indicated below. February 24, 2005 (date of receipt)</p> <p>2. ___ The search copy was accompanied by a nucleotide and/or amino acid sequence listing or tables related thereto in electronic form.</p> <p>3. Time limit for establishment of international search report and written opinion of the International Searching Authority The applicant is informed that the time limit for establishing the international search report and the written opinion of the International Searching Authority is three months from the date of receipt indicated above or nine months from the priority date, whichever time limit expires later (Rules 42.1 and 43-2 1(a)).</p> <p>4. A copy of this notification has been sent to the International Bureau and, where the first sentence of paragraph 1 applies, to the Receiving Office.</p>			

State Intellectual Property Office of the People's Republic of China (ISA/CN) No. 6 West Tucheng Road, Ji Men Bridge Haidian District, Beijing, China 100088	Authorized officer Bo Lingfei Telephone number: 86-010 6208 4325
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Fax number: (86-10) 62019451

Form PCT/ISA/202 (January 2004)

[stamp:]

State Intellectual Property Office of the People's Republic
of China

Patent Examination Business Stamp

PCT

Completed by the receiving office

Request

International application number

The undersigned requests that the present international application be processed according Patent Cooperation Treaty

International filing date

Name of receiving office and "PCT international application"

Applicant's or agent's file reference (if it exists) (12 digits maximum)
PEGNA50006

Box I. Title of invention		T-Cell Immune Response Inhibitor	
Box II. Applicant		This person is also the inventor.	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) China Agricultural University 2 Yuanmingyuan West Road, Haidian District, Beijing, 100094, China		Telephone number Fax number Telex number Applicant's registration number at the office:	
State (that is, country) of nationality: CN		State (that is, country) of residence: CN	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input checked="" type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box			
Box III. Further applicants and/or (other) inventors			
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Wang, Bin 2 Yuanmingyuan West Road, Haidian District, Beijing, 100094, China		This person is: <input type="checkbox"/> The applicant <input checked="" type="checkbox"/> The applicant and inventor <input type="checkbox"/> The inventor (if this box is checked, it is not necessary to complete the rest of the page.) Applicant's registration number with the office	
State (that is, country) of nationality: CN		State (that is, country) of residence: CN	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box			
<input checked="" type="checkbox"/> Further applicants and/or inventors are indicated on a continuation sheet.			
Box IV. Agents or common representative; or address for correspondence			
The person identified below is hereby/has been appointed to act on behalf of the applicants before the competent International Authorities as: <input checked="" type="checkbox"/> Agent <input type="checkbox"/> common representative			
Name and address: (Family name followed by given name; for a		Telephone number	

legal entity, full official designation. The address must include postal code and name of country.) Beijing Jeekai & Partners Suite 602, Jinyu Tower, A129 West Xuan Wu Men Street, Xicheng District, Beijing 100031 China	(86) 010-58561060
	Fax number (86) 010-58561050
	Telex number
	Agent's registration number with the office 11245
<input type="checkbox"/> Address for correspondence: Mark this check-box where no agent or common representative is/has been appointed and the space above is used instead to indicate a special address to which correspondence should be sent.	

Form PCT/RO/101 (page 1) (January 2004)

See notes to the request form

Continuation of Box III. Further applicants and/or (further) inventors	
If none of the following sub-boxes is used, this sheet should not be included in the request.	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Yu, Qingling 2 Yuanmingyuan West Road, Haidian District, Beijing, 100094, China	This person is: <input type="checkbox"/> The applicant <input checked="" type="checkbox"/> The applicant and inventor <input type="checkbox"/> The inventor (if this box is checked, it is not necessary to complete the rest of the page.) Applicant's registration number with the office
State (that is, country) of nationality: CN	State (that is, country) of residence: CN
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Jin, Huali 2 Yuanmingyuan West Road, Haidian District, Beijing, 100094, China	This person is: <input type="checkbox"/> The applicant <input checked="" type="checkbox"/> The applicant and inventor <input type="checkbox"/> The inventor (if this box is checked, it is not necessary to complete the rest of the page.) Applicant's registration number with the office
State (that is, country) of nationality: CN	State (that is, country) of residence: CN
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.) Kang, Youmin 2 Yuanmingyuan West Road, Haidian District, Beijing, 100094, China	This person is: <input type="checkbox"/> The applicant <input checked="" type="checkbox"/> The applicant and inventor <input type="checkbox"/> The inventor (if this box is checked, it is not necessary to complete the rest of the page.) Applicant's registration number with the office
State (that is, country) of nationality: CN	State (that is, country) of residence: CN
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input checked="" type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box	
Name and address: (Family name followed by given name;	This person is:

for a legal entity, full official designation. The address must include postal code and name of country. The country of the address indicated in this box is the applicant's State (that is, country) of residence if no State of residence is indicated below.)		<input type="checkbox"/> The applicant <input type="checkbox"/> The applicant and inventor <input type="checkbox"/> The inventor (if this box is checked, it is not necessary to complete the rest of the page.) Applicant's registration number with the office
State (that is, country) of nationality:	State (that is, country) of residence:	
This person is applicant for the purposes of: <input type="checkbox"/> all designated States <input type="checkbox"/> all designated States except the United States of America <input type="checkbox"/> the United States of America only <input type="checkbox"/> the States indicated in the Supplemental Box		
<input type="checkbox"/> Further applicants and/or inventors are indicated on a continuation sheet.		

Form PCT/RO/101 (continuation page) (January 2004)

See notes to the request form

Box V. Designations (region and country of patent)				
<p>The filing of this request constitutes under Rule 4.9(a), the designation of all Contracting States bound by the PCT on the international filing date, for the grant of every kind of protection available and, where applicable, for the grant of both regional and national patents.</p> <p>However,</p> <p><input type="checkbox"/> DE Germany is not designated for any kind of national protection</p> <p><input type="checkbox"/> KR Republic of Korea is not designated for any kind of national protection</p> <p><input type="checkbox"/> RU Russia is not designated for any kind of national protection</p> <p>(The check-boxes above may be used to exclude (irrevocably) the designations concerned in order to avoid the ceasing of the effect, under the national law, of an earlier national application from which priority is claimed. See the notes to Box V as to the consequences of such national law provisions in these and certain other States.)</p>				
Box VI. Priority claim				
The priority of the following earlier application is hereby claimed				
Filing date of earlier application	Number of earlier application	Where earlier application is:		
		National application: country or member of WTO	Regional application:* regional office	International application: receiving office
(1) February 20, 2004	200410039189.1	CN		
(2)				
(3)				
Further priority claims are indicated in the supplemental box.				
<p>The receiving office is requested to prepare and transmit to the international bureau a certified copy of the earlier application (only if the earlier application was filed with the office which for the purposes of this international application is the receiving office) identified above as:</p> <p><input type="checkbox"/> All <input checked="" type="checkbox"/> Item 1 <input type="checkbox"/> Item 2 <input type="checkbox"/> Item 3 <input type="checkbox"/> Other, see supplemental box</p> <p>Where the earlier application is an ARIPO application, indicate at least one country party to the Paris Convention for the Protection of Industrial Property or one Member of the World Trade Organization for which that earlier application was filed (Rule 4.10(b)(ii)).</p>				
Box VII. International Searching Authority				
<p>Choice of International Searching Authority (ISA) (if two or more International Searching Authorities are competent to carry out the international search, please indicate the Authority chosen; two-letter code may be used):</p> <p>ISA/CN</p> <p>Request to use results of earlier search: reference to that search (if an earlier search has been carried out by or requested from the International Searching Authority):</p> <p>Date Number Country (or regional patent office)</p>				
Box VIII. Declarations				
<p>The following declarations are contained in Box VIII (i) to (v) (mark the applicable check-boxes below and indicate in the right column the number of each type of declaration):</p> <p style="text-align: right;">Number of declarations</p>				

☐ Box VIII (i) Declaration as to identity of the inventor :

☐ Box VIII (ii) Declaration as to the applicant's entitlement, as at the international filing date, to apply for and be granted a patent :

☐ Box VIII (iii) Declaration as to the applicant's entitlement, as at the international filing date, to claim the priority of the earlier application :

☐ Box VIII (iv) Declaration of inventorship (only for the purposes of the designation of the United States of America) :

☐ Box VIII (v) Declaration as to non-prejudicial disclosures or exceptions to lack of novelty :

Form PCT/RO/101 (page three) (January 2004)

See notes to the request form

Box IX. Check list; language of filing

This international application contains:

(a) On paper the following number of sheets:

Request : 4 pages

(includes declaration sheets) :

Description : 17 pages

(excluding sequence listing and/or tables related thereto)

Patent claims document : 2 pages

Abstract : 1 page

Attached figures : 4 pages

Subtotal : 28 pages

Sequence listing : pages

Tables related thereto : pages

(for both, actual number of sheets if filed on paper, whether or not also filed in electronic form; see (c) below)

Total : pages

(b) ☐ Only in electronic form (Section 801(a)(i))

(i) ☐ sequence listing

(ii) ☐ tables related thereto

(c) ☐ Also in electronic form (Section 801 (a) (ii))

(i) ☐ sequence listing form

(ii) ☐ tables related thereto

Type and number of carriers (diskette, CD-ROM, CD-R or other) on which are contained the:

☐ Sequence listing:

☐ Tables related thereto:

(Additional copies to be indicated under items 9(ii) and/or 10(ii) below)

This international application is accompanied by the following items (mark the applicable check-boxes below and indicate in the right column the number of each item)

1. ☒ Fee calculation sheet : 1

2. ☒ Original separate power of attorney : 1

3. ☐ Original general power of attorney

4. ☐ Copy of general power of attorney, reference number if any:

5. ☐ Statement explaining lack of signature

6. ☐ Priority document identified in Box No. VI as item(s):

7. ☐ Translation of international application into (language):

8. ☐ Separate indications concerning deposited microorganism or other biological material:

9. ☐ Sequence listing in electronic form (indicate type and number of carriers)

(i) ☐ Copy submitted for the purposes of international search under Rule 13-3 only (and not as part of the international application):

(ii) ☐ (Only where check-box (b)(i) or (c)(i) is marked above), additional copies including, where applicable, the copy for the purposes of international search under Rule 13-3:

(iii) ☐ Together with relevant statement as to the identify of the copy or copies with the sequence listing mentioned in the previous section:

10. ☐ Tables in electronic form related to sequence listing (indicate type and number of

carriers)

(i) ☐ Copy submitted for the purposes of international search under Section 802 (b-4) only (and not as part of the international application):

(ii) ☐ (Only where check-box (b)(ii) or (c)(ii) is marked above), additional copies including, where applicable, the copy for the purposes of international search under Section 802(b-4):

(iii) ☐ Together with relevant statement as to the identity of the copy or copies with the tables mentioned in the previous section:

11. ☐ Other (specify):

Number of figures to be attached to the abstract:

Language of filing of the international application: Chinese

Box X. Signature or name stamp of applicant or applicant's agent

Next to each signature, indicate the name of the person signing and the capacity in which the person signs (if such capacity is not obvious from reading the request).

[stamp:] Jeekai & Partners Beijing

Intellectual Property Ltd. [signature:] Guan Chang

Completed by the receiving office

1. Date of actual receipt of the purported international application:	Attached figures:
3. Corrected date of actual receipt due to later (but timely) received papers or drawings completing the purported international application:	<input type="checkbox"/> Received:
4. Date of (timely) receipt of the required corrections under PCT Article 11.(2):	<input type="checkbox"/> Not received:
5. International Searching Authority (if two or more are competent): ISA/	6. <input type="checkbox"/> Transmittal of search copy delayed until search fee is paid

Completed by the International Bureau

Date of receipt of the record copy by the International Bureau:

This sheet is not part of and does not count as a sheet of the international application.

PCT

Fee calculation sheet

Annex to the request

Completed by the receiving office

International application number

Date stamp of the receiving office

Applicant's or agent's file reference PEGNA50006	
Applicant China Agricultural University	
Calculation of prescribed fees	
1. Transmittal fee	CNY500 T
2. Search fee	CNY1500 S
International search to be carried out by ISA/ (If two or more International Searching Authorities are competent to carry out the international search, indicate the name of the Authority which is chosen to carry out the international search.)	
3. International filing fee	
When items (b) and/or (c) of Box No. IX apply, enter subtotal of sheets	
When items (b) and (c) of Box No. IX apply, enter subtotal of sheets 28 pages	
i1 First 30 pages	CHF1400 i1
i2	i2
In excess of 30 pages X fee per page	
i3 Additional component (only if a sequence listing and/or tables related thereto are filed in electronic form under Section 801 (a) (i), or both in that form and on paper, under Section 801 (a) (ii):	
400 x fee per page attached = i3	
Add amounts entered at i1, i2 and i3 and enter total at I CHF 1400 I	
(Applicants from certain States are entitled to a reduction of 75% of the international filing fee. Where the applicant is (or all applicants are) so entitled, the total to be entered at I is 25% of the total for items i1, i2 and i3 in box I.)	
4. Fee for priority document (if it exists)	CNY150 P
5. Total fees payable	
Add amounts entered at T, S, I and P, and enter total in the TOTAL box	
CNY2150	
CHF1400	
Total	
Mode of payment	
X Authorization to charge deposit account (see below) ___ Postal money order ___ Cash	
___ Check ___ Coupons ___ Other (specify)	
___ Bank draft ___ Revenue stamps	
[stamp:]	
Jeekai & Partners Beijing	
Intellectual Property Ltd.	
Authorization to charge (or credit) deposit account (This mode of payment may not be available at all receiving offices)	Receiving office: RO/
X Authorization to charge total fees indicated above from a	Account number:

personal account <input type="checkbox"/> Authorization to charge any deficiency or credit any overpayment in the total fees indicated above. (This check-box may be marked only if the conditions for deposit accounts of the receiving office so permit)	Date: January 31, 2005 Name: Signature:
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Form PCT/RO/101 (Annex) (January 2004)

See notes to the fee calculation sheet